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COHEN & GRIGSBY, P.C. 11 STANWIX STREET 15TH FLOOR PITTSBURGH, PA 15222-1319 NO. 6901 P. 1

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Official

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FAX COVER SHEET

SEP 2 8 2001 GROUP 3699

DATE:

September 28, 2001

TO:

Eric Burns

FIRM/COMPANY:

PHONE NUMBER:

(703) 308-6355

FAX NUMBER: (703) 305-7687

FROM:

Tracy J. Dillinger

TELEPHONE:

(412) 297-4843

NUMBER OF PAGES (INCLUDING COVER PAGE):

8

**COMMENTS:** 

Eric: As we discussed. Tracy

CLIENT/MATTER NO.:

7464.0003

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FORM PTO-1595 (Modilled)

NO. 6901 P. 2

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U.S. DEPARTMENT OF COMMERCE

## **PATENTS ONLY**

(Rev. 6-93) (Rev. 6-93) (Rev. 6-93) (OMB No. 0651-0011 (sup.494) Copyright 1594-87 LegalStar P02/HEV02	PATENTS ONLY		Official Patent and Trademark Office
Tab settings → → → ▼	▼	▼ ▼	
To the Honorable Commissioner of Patents  1. Name of conveying party(ies): Millennium Materials, Inc.  FAX RE	and Trademarks: I		s of receiving party(ies):
GROU	8 2001 P 3600 □ Yes ⊠ No	Internal Address:	
3. Nature of conveyance:		<u> </u>	
🖾 Assignment 🗀 Mer	ger	Street Address: 1	105 North Market Street, Suite 1300
☐ Security Agreement ☐ Cha	ange of Name	City: Wilmington	State: <b>DE</b> ZIP: 19801
Execution Date: July 24, 2001		Additional name(s) & a	address(es) attached? 🔲 Yes 🔀 No
4. Application number(s) or registration number it this document is being filed together with A. Patent Application No.(s)  09/838,866 filed April 20,	a new application,	the execution date of B. Patent No.	
Addi	ional numbers attact	ned? 🗋 Yes 🔀 N	No
<ol><li>Name and address of party to whom corres concerning document should be mailed:</li></ol>	spondence	6. Total number of a	pplications and patents involved: 1
Name: Christine L. Wettach		7 Total fee (37 CFR	3.41):\$ A0.00
Internal Address: Cohen & Grigsby, P.C.		Enclosed - An	ny excess or insufficiency should be bited to deposit account
		<ul><li>Authorized to</li></ul>	be charged to deposit account
Street Address: 11 Stanwix Street, 15th Flo	oor	8. Deposit account n	umber.
City: Pittsburgh State: PA	ZIP: 15222	טיב טים - ב, ט	
		SE THIS SPACE	
9. Statement and signature.  To the best of my knowledge and belief, the of the original document.  Christine L. Wettach	e foregoing informa	tion is true and correc	t and any attached copy is a true copy

Name of Person Signing

Signature

Date

#### **ASSIGNMENT OF PATENTS**

This Assignment of Patents is made as of July \_\_\_\_\_, 2001, between MILLENNIUM MATERIALS, INC., a Tennessee corporation having a principal place of business at 642 Barbrow Lane, Knoxville, Tennessee 37932 ("Assignor"), and DYSON US HOLDINGS, INC., a Delaware corporation having a principal place of business at 1105 North Market Street, Suite 1300, Wilmington, Delaware 19801 ("Assignee").

#### ASSIGNMENT

WHEREAS, MILLENNIUM MATERIALS, INC., a Tennessee corporation 642 Barbrow Lane
Knoxville, Tennessee 37932
United States of America

hereinafter referred to as ("Assignor"), is the owner of all rights, title and interest in and to the Inventions described in the Letters Patents and Applications for Letters Patents and the Letters Patents and Applications for Letters Patents identified on Attachment A hereto (collectively the "Patent Rights"), and incorporated by reference as if fully set forth herein; and

WHEREAS. DYSON US HOLDINGS, INC., a Delaware corporation 1105 North Market Street, Suite 1300
Wilmington, Delaware 19801
United States of America

hereinafter referred to as ("Assignee"), is desirous of acquiring the full and entire right, title and interest of Assignor in and to said Patent Rights or other legal protection obtainable therefor in the United States, and in any other country in which legal protection may be sought and enforced for said Inventions.

## NOW, THEREFORE, TO ALL WHOM IT MAY CONCERN:

Be it known that in consideration of the mutual promises set forth herein and for other good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, and each party hereto intending to be legally bound hereby, the parties agree as follows:

Assignor hereby sells, assigns and transfers its full and entire right, title and interest in and to the above-identified Patent Rights throughout the world to Assignee, including all rights in any patent application(s) for letters patent and/or letters patent and/or other legal protection based thereon heretofore or hereinafter existing in this or any other country, including all divisions, continuations, continuations-in-part, reissues, substitutes, renewals and extensions thereof, to be held and enjoyed by Assignee, for its own use and benefit, and for the benefit of its successors and assigns, to the full end of the terms for which the same may be granted in this or any other country, as fully and entirely as the same would have been held by Assignor had this Assignment not been made.

Assignor hereby warrants and covenants to have the full and exclusive power to convey the rights set forth herein and has not and will not enter into any agreement in conflict herewith; and further covenants that it will ensure Assignee or its successors and assigns are provided with any facts, evidence, documents and/or testimony regarding the aforesaid rights in any legal proceeding; and further covenants that it will cause the proper execution of all documents, the making of all oaths, the taking of all testimony, and the completion of every other action necessary to enable and authorize Assignee, or its successors and assigns, to obtain, maintain and enforce all legal protection obtainable therefor in this or any other country.

Assignor hereby authorizes and requests the Commissioner of Patents and Trademarks of the United States Patent and Trademark Office, or the Commissioner of any other country as appropriate, to assign said Patent Rights to Assignee.

IN WITNESS WHEREOF, the parties hereto have caused their duly authorized representatives to execute this Assignment as of the day and year first written above.

MILLENNIUM MATERIALS, INC.
By: Sonew Colem
Printed Name: Stand (WE 4US)
Title: PRESIDENT
DYSON US HOLDINGS, INC.
Ву:
Printed Name:
47.1

IN WITNESS WHEREOF, the parties hereto have caused their duly authorized representatives to execute this Assignment as of the day and year first written above.

•
Ву:
Printed Name:
Title:
•
•
DYSON US HOPPINGS, INC.
$ HMOV_A$
By:
Printed Name: A.N. PARKET

### ATTACHMENT A

### U.S. PATENTS

U.S. PATENT NO.	DATE OF ISSUE	TITLE OF INVENTION
5,573,607	November 12, 1996	METAL MATRIX COMPOSITES OF ALUMINUM, MAGNESIUM, AND TITANIUM USING SILICON BORIDES
5,573,985	November 12, 1996	CERAMIC MATRIX COMPOSITES USING STRENGTHENING AGENTS OF SILICON BORIDES OF THE FORM Si-B-C
5,587,241	December 24, 1996	MINERAL FIBERS AND WHISKERS EXHIBITING REDUCED MAMMALIAN CELL TOXICITY, AND METHOD FOR THEIR PREPARATION
5,712,014	January 27, 1998	METAL MATRIX COMPOSITIONS FOR SUBSTRATES USED TO MAKE MAGNETIC DISKS FOR HARD DISK DRIVES
5,895,696	April 20, 1999	METAL-CLAD CERAMIC-METAL MATRIX COMPOSITES FOR MAGNETIC DISK SUBSTRATES FOR HARD DISK DRIVES
5,948,495	Scptember 7, 1999	CERAMIC-METAL MATRIX COMPOSITES FOR MAGNETIC DISK SUBSTRATES FOR HARD DISK DRIVES

## U.S. PATENT APPLICATIONS

U.S. PATENT APPLICATION SERIAL NUMBER	DATE OF FILING	TITLE OF INVENTION
09/838,866, [Atty Docket No. 5564]	April 20, 2001	METAL MATRIX COMPOSITE HORSESHOE
09/167,235	October 6, 1998	METAL-CLAD METAL MATRIX COMPOSITE MAGNETIC DISK
09/187,886	November 6, 1998	METAL-CLAD METAL MATRIX COMPOSITES FOR DISK SUBSTRATES FOR MAGNETIC HARD DRIVE
09/295,810	April 20, 1999	METAL-CLAD CERAMIC-METAL MATRIX COMPOSITES FOR MAGNETIC DISK SUBSTRATES FOR HARD DISK DRIVES